

 <p>Financial Assistance Award</p> <p>DENALI COMMISSION 510 L Street, Suite 410 Anchorage, Alaska 99501 (907) 271-1414 (phone) (907) 271-1415 (fax) www.denali.gov</p>		Award Number	01540-00		
		Award Title	Raising Koyukuk Houses		
		Performance Period	January 29, 2018 through December 31, 2018		
Authority 112 Stat 1854	CFDA Number 90.100	Recipient Organization & Address Koyukuk Native Village 100 Vista Rd Koyukuk, AK 99754			
Denali Commission Finance Officer Certification		Phone: Recipient DUNS # 611490806 TIN # 92-0038304			
Cost Share Distribution Table					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$93,000.00		\$0.00		\$93,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
Koyukuk Native Village		\$6,996.00		\$0.00	\$6,996.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
Total	\$93,000.00	\$6,996.00	\$0.00	\$0.00	\$99,996.00
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
Signature of Authorized Official - Denali Commission Electronically Signed		Typed Name and Title Mr. Jay Farmwald Director of Programs		Date 02/08/2018	

AWARD ATTACHMENTS

Koyukuk Native Village

01540-00

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1. Terms and Conditions
 2. Attachment A

**Financial Assistance Award Terms and Conditions
Between the Denali Commission and Koyukuk Tribal Council for
Raising Koyukuk Houses
Award No. 1540
19 January 2018**

1. Project Summary

- a. Scope of Work: Raise five homes in Koyukuk above flood level.
- b. Deliverables:
 - Five raised homes
- c. Budget: The Commission is making \$ 93,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct, indirect, and pre-award costs (if any) authorized pursuant to 2 CFR 200.458. The Koyukuk Tribal Council is contributing an additional \$6996 of in-kind contributions for materials, supplies, equipment rental, and administrative services. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission consistent with 2 CFR 200.319.
- d. Delivery Method: Construction will be performed by force account utilizing local labor, equipment, and materials. Electrical disconnect and reconnect will be done by an electrical contractor.
- e. Performance Period: The Period of Performance for this FAA is 29 January 2018 through 31 December 2018. In accordance with 2 CFR 200.309 recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at www.denali.gov.

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, schedule and key milestones are included in Attachment "A" dated 2 November 2017.

2. Project Reporting and Commission Site Visits

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at www.denali.gov/dcpdb.

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

3. Payments

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. At a minimum, all 270's must include summary cost information on labor, materials, contracts/consultants, and indirect costs. Detailed documentation is required for any single expenditure greater than \$50,000. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

4. Modifications

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions, and request prior approvals from the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

5. Financial Management, Record Keeping, Internal Controls, and Audits

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

6. Direct and Indirect Costs

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

7. Sub-Awards and Contracts

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

8. Acknowledgement of Support

The Recipient shall name the Denali Commission as a financial contributor and project/program partner in all media correspondence related to the work supported by this FAA. If Commission funds are used for construction, the Recipient shall also display a sign at the construction site that acknowledges the Commission's support. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

9. Real and Personal Property

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

10. Conflict of Interest

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

11. Denali Commission Policies

Recipients may be required to comply with certain published Denali Commission policies which can be found in the *Recipient Guidelines and Requirements* document. Applicable policies are referenced in the Special Provisions of this FAA, and specific requirements/deliverables (if any) are stipulated in Appendix A.

12. Laws and Regulations

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

13. Other Provisions

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

14. Special Provisions

Progress Reports: Shall be submitted on a quarterly basis. The first reporting period is 29 January 2018 to 31 March 2018, and quarterly thereafter in accordance with the Commission's *Recipient Guidelines and Requirements*.

Pre-award Costs: N/A

Key Staff:

- Laurie Lolnitz (Project Manager)
- Darlene Lolnitz (Bookkeeper)

Advance Payments: N/A

Federal Property Interests and Reporting Requirements: N/A

Denali Commission Policies: N/A

Ownership and Site Control: The recipient shall develop and execute all necessary documents and agreements required to establish site control and/or right of access to any properties effected by execution of the project.

Bonds and Insurance: The Denali Commission shall be named as an Additional Insured on the City's Liability Insurance Policy, as well as on the Liability Insurance Policy of professional services consultants and surveyors that may be retained as part of the project.

15. Program Manager, Financial Manager & Other Contact Information

Denali Commission	Koyukuk Tribal Council
Chris Allard Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-4714 Fax: 907-271-1415 E-mail: callard@denali.gov	Laurie Lolnitz Project Manager P.O. Box 109 Koyukuk, AK 99754 Phone: 907-927-8859 Fax: 907-927-2220 E-mail: laurie_jay58@outlook.com
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3036 Fax: 907-271-1415 E-mail: jdavis@denali.gov	Darlene Lolnitz Bookkeeper P.O. Box 109 Koyukuk, AK 99754 Phone: 907-927-2253 Fax: 907-927-2220 E-mail: darlene.pilot@tananachiefs.org

Financial Assistance Award 1540
Between the Denali Commission and Koyukuk Tribal Council for Raising Houses
Attachment “A”
2 November 2017

Scope of Work

Background: The community of Koyukuk, located at the confluence of the Koyukuk and Yukon Rivers, is in the floodplain of the two rivers and is subject to spring floods on an annual basis. There is no feasible place to relocate houses that are in danger of being flooded. Most of the homes in the community have been raised or built above the high-water level.

This project will raise the majority of the remaining low-lying houses and will replace the insulation in the floor that has been damaged by previous floods. The work will extend the useful life of the houses and improve their energy efficiency and indoor air quality.

The proposed work is consistent with categorical exclusion B1 in the Denali Commission’s NEPA regulations published 11 August 2016 and is excluded from further analysis upon completion of the Commission’s CATEX checklist.

Tasks:

- Prepare CATEX checklist for review and execution by the Commission
- Prepare a Construction Plan that identifies the five homes to be raised, defines the elevation to which they will be raised, and describes and illustrates the foundation system that will be constructed
- Finalize a list of materials and supplies needed for the project
- Secure all permits and approvals required to construct the project
- Raise the five houses
- Adjust and replace utility connections and stairs as necessary

Deliverables

- CATEX checklist
- Construction plan
- Completed project

Budget

The budget for the proposed work is \$99,996. Of this amount, the Denali Commission is making available \$93,000. The balance of \$6,996 is being provided by the Koyukuk Tribal Council as an in-kind contribution for administrative services, tools, and equipment rental. Additional information regarding budget and funding is included in the table on page 3.

Delivery Method

The Koyukuk Tribal Council will perform the work via force account utilizing local labor. Electrical disconnect and reconnect of the homes will be performed by a utility contractor. Materials will be procured in accordance with the Tribe’s procurement policy. The Commission will provide technical assistance, as necessary, in the development of the construction plan.

Schedule

Key project milestones are as delineated below.

- | | |
|------------------------------------|-------------------|
| • Submit draft CATEX checklist | 9 February 2018 |
| • Submit construction plan | 16 February 2018 |
| • Order materials | 28 February 2018 |
| • Begin construction activities | 1 June 2018 |
| • Complete construction activities | 30 September 2018 |
| • Award Closeout | 31 December 2018 |

BUDGET AND FUNDING					
<i>Line</i>	Item/Activity	Total Budget ¹	Funding		Basis / Notes
			Denali Commission	Koyukuk ²	
1	CONSTRUCTION				
2	Personnel ³				
3	Project Manager/Administrtor	\$1,200		\$1,200	16 part days @ \$75/day
4	Foreman	\$2,024	\$2,024		40 mandays @ \$387/day
5	Laborers	\$21,528	\$21,528		130 mandays @ \$165.60/day
6	Carpenters	\$11,228	\$11,228		50 mandays @ \$224.57/day
7	Subtotal Local Labor	\$35,980	\$34,780	\$1,200	
8	Materials and Supplies				
9	Lumber, plywood, and logs	\$21,370	\$21,370		Koyukuk Tribal Council estimate
10	Insulation and flashing	\$11,432	\$11,432		Koyukuk Tribal Council estimate
11	Fasteners and tools	\$4,096		\$4,096	Koyukuk Tribal Council estimate
12	Miscellaneous/Contingency	\$200		\$200	Koyukuk Tribal Council estimate
13	Subtotal Materials and Supplies	\$37,098	\$32,802	\$4,296	
14	Other Direct Costs				
15	Freight/shipping	\$955	\$955		Koyukuk Tribal Council estimate
16	Disconnect/Reconnect Power	\$6,517	\$6,517		Northern Power Constructors estimate
17	Mob and Demob	\$17,082	\$17,082		Northern Power Constructors estimate
18	Equipment Rental - Truck	\$1,500		\$1,500	Koyukuk Tribal Council estimate
19	Equipment Rental - Loader	\$450	\$450		Koyukuk Tribal Council estimate
20	Subtotal Other Direct Costs	\$26,504	\$25,004	\$1,500	
21	TOTAL CONSTRUCTION	\$99,582	\$92,586	\$6,996	
22					
23	TOTAL DIRECT COSTS	\$99,582	\$92,586	\$6,996	
24					
25	Indirect Costs	\$0	\$0	\$0	See note 4
26					
27	Grand Total	\$99,582	\$92,586	\$6,996	
28	Funding				
29	Denali Commision Award (Rounded)		\$93,000		
30	Total Recipient Contributions			\$6,996	See note 5
31	Total Project Budget	\$99,996			

Notes

- Budget estimates based on data provided in the Koyukuk Tribal Council grant application dated 7 July 2017.
- Matching funds provided by Koyukuk Tribal Council. Contributions are in-kind contributions for administration, materials, and equipment rental.
- All labor rates are fully burdened rates. Work will be performed via force account utilizing local labor.
- No indirect costs will be charged under this FAA per the proposal from the Koyukuk Tribal Council dated 7 July 2017.
- Total project cost less than \$100,000, therefore no match required per Commission policy dated 9 December 2016. Koyukuk is providing a voluntary in-kind contribution of approximately 7%.